

In The
Supreme Court of the United States

—◆—
ABIGAIL NOEL FISHER,

Petitioner,

v.

UNIVERSITY OF TEXAS AT AUSTIN, et al.,

Respondents.

—◆—
**On Writ Of Certiorari To The
United States Court Of Appeals
For The Fifth Circuit**

—◆—
**BRIEF OF EXPERIMENTAL PSYCHOLOGISTS
AS AMICI CURIAE
IN SUPPORT OF RESPONDENTS**

—◆—
RACHEL D. GODSIL
SETON HALL LAW SCHOOL
One Newark Center
Newark, NJ 07102

STUART BANNER
Counsel of Record
JERRY KANG
UCLA SUPREME COURT CLINIC
UCLA SCHOOL OF LAW
405 Hilgard Ave.
Los Angeles, CA 90095
(310) 206-8506
banner@law.ucla.edu
Counsel for Amici Curiae

QUESTION PRESENTED

Whether the Equal Protection Clause permits the University of Texas to consider applicants' race as one of the many factors that go into the university's admissions decisions.

TABLE OF CONTENTS

	Page
QUESTION PRESENTED.....	i
TABLE OF AUTHORITIES	iii
INTEREST OF AMICI CURIAE.....	1
SUMMARY OF ARGUMENT	1
ARGUMENT	3
I. The Stereotype Threat Research.....	6
A. Stereotype Threat in the Laboratory	6
B. Stereotype Threat in the Real World	11
II. Implications for College Admissions	18
A. Admitting the Best Students	19
B. Ensuring that Admitted Students Can Perform Up to Their Capacities.....	21
CONCLUSION.....	26
APPENDIX	
List of Amici Curiae.....	1a

TABLE OF AUTHORITIES

	Page
J. Aronson and T. Dee, "Stereotype Threat in the Real World," in M. Inzlicht and T. Schmader, eds., <i>Stereotype Threat: Theory, Process, and Application</i> 264-79 (Oxford University Press, 2012)	11
J. Aronson et al., "When White Men Can't Do Math: Necessary and Sufficient Factors in Stereotype Threat," 35 <i>Journal of Experimental Social Psychology</i> 29 (1999)	10
J. Aronson et al., "Reducing the Effects of Stereotype Threat on African American College Students by Shaping Theories of Intelligence," 38 <i>Journal of Experimental Social Psychology</i> 113 (2002)	13
R.P. Brown and E.A. Day, "The Difference Isn't Black and White: Stereotype Threat and the Race Gap on Raven's Advanced Progressive Matrices," 91 <i>Journal of Applied Psychology</i> 979 (2006)	8
R.P. Brown and M.N. Lee, "Stigma Consciousness and the Race Gap in College Academic Achievement," 4 <i>Self and Identity</i> 149 (2005)	21
S.E. Carrell et al., "Sex and Science: How Professor Gender Perpetuates the Gender Gap," 125 <i>Quarterly Journal of Economics</i> 1101 (2010)	13
G.L. Cohen et al., "Reducing the Racial Achievement Gap: A Social-Psychological Experiment," 313 <i>Science</i> 1307 (2006)	11

TABLE OF AUTHORITIES – Continued

G.L. Cohen et al., “Recursive Processes in Self-Affirmation: Intervening to Close the Minority Achievement Gap,” 324 <i>Science</i> 400 (2009)	12
College Board, <i>2011 College-Bound Seniors: Total Group Profile Report</i> (2011).....	14
N. Dasgupta, “Ingroup Experts and Peers as Social Vaccines Who Inoculate the Self-Concept: The Stereotype Inoculation Model,” 22 <i>Psychological Inquiry</i> 231 (2011).....	22
P.G. Davies et al., “Consuming Images: How Television Commercials that Elicit Stereotype Threat Can Restrain Women Academically and Professionally,” 28 <i>Personality and Social Psychology Bulletin</i> 1615 (2002)	9
N. Ding and E. Harskamp, “How Partner Gender Influences Female Students’ Problem Solving in Physics Education,” 15 <i>Journal of Science Education and Technology</i> 331 (2006)	23
M.J. Fischer, “A Longitudinal Examination of the Role of Stereotype Threat and Racial Climate on College Outcomes for Minorities at Elite Institutions,” 13 <i>Social Psychology of Education</i> 19 (2010).....	21
P.M. Gonzalez et al., “The Effects of Stereotype Threat and Double-Minority Status on the Test Performance of Latino Women,” 28 <i>Personality and Social Psychology Bulletin</i> 659 (2002).....	9

TABLE OF AUTHORITIES – Continued

C. Good et al., “Improving Adolescents’ Standardized Test Performance: An Intervention to Reduce the Effects of Stereotype Threat,” 24 <i>Journal of Applied Developmental Psychology</i> 645 (2003).....	12
E. Harskamp et al., “Group Composition and Its Effect on Female and Male Problem-Solving in Science Education,” 50 <i>Educational Research</i> 307 (2008).....	23
M. Inzlicht and T. Ben-Zeev, “A Threatening Intellectual Environment: Why Females are Susceptible to Experiencing Problem-Solving Deficits in the Presence of Males,” 11 <i>Psychological Science</i> 365 (2000).....	22
J. Kang and M.R. Banaji, “Fair Measures: A Behavioral Realist Revision of Affirmative Action,” 94 <i>Calif. L. Rev.</i> 1063 (2006)	20
D.S. Massey and L. Probasco, “Divergent Streams: Race-Gender Achievement Gaps at Selective Colleges and Universities,” 7 <i>Du Bois Review</i> 219 (2010)	21
W.J. McGuire et al., “Salience of Ethnicity in the Spontaneous Self-Concept as a Function of One’s Ethnic Distinctiveness in the Social Environment,” 36 <i>Journal of Personality and Social Psychology</i> 511 (1978).....	23

TABLE OF AUTHORITIES – Continued

R. Mendoza-Denton et al., “Sensitivity to Status-Based Rejection: Implications for African American Students’ College Experience,” 83 <i>Journal of Personality and Social Psychology</i> 896 (2002).....	21
M.C. Murphy et al., “Signaling Threat: How Situational Cues Affect Women in Math, Science, and Engineering Settings,” 18 <i>Psychological Science</i> 879 (2007).....	23
J. Owens and D.S. Massey, “Stereotype Threat and College Academic Performance: A Latent Variables Approach,” 40 <i>Social Science Research</i> 150 (2011).....	21
V. Purdie-Vaughns et al., “Social Identity Contingencies: How Diversity Cues Signal Threat or Safety for African Americans in Mainstream Institutions,” 94 <i>Journal of Personality and Social Psychology</i> 615 (2008)	23
D.M. Quinn and S.J. Spencer, “The Interference of Stereotype Threat with Women’s Generation of Mathematical Problem-Solving Strategies,” 57 <i>Journal of Social Issues</i> 55 (2001).....	9
S.F. Reardon et al., <i>Effects of the California High School Exit Exam on Student Persistence, Achievement, and Graduation</i> (Stanford University Institute for Research on Education Policy and Practice Working Paper 2009-12 (2009)).....	12

TABLE OF AUTHORITIES – Continued

Sandra Day O'Connor: "The Majesty of the Law," available at http://articles.cnn.com/2003-05-20/politics/judy.page.oconnor_1_individual-rights-supreme-court-justice-sandra-day-oconnor/3?_s=PM:ALLPOLITICS	24
T. Schmader and M. Johns, "Converging Evidence that Stereotype Threat Reduces Working Memory Capacity," 85 <i>Journal of Personality and Social Psychology</i> 440 (2003)	10
T. Schmader et al., "An Integrated Process Model of Stereotype Threat Effects on Performance," 115 <i>Psychological Review</i> 336 (2008).....	6, 10, 11
D. Sekaquaptewa and M. Thompson, "The Differential Effects of Solo Status on Members of High- and Low-Status Groups," 28 <i>Personality and Social Psychology Bulletin</i> 694 (2002).....	22
E. Spangler et al., "Token Women: An Empirical Test of Kanter's Hypothesis," 84 <i>American Journal of Sociology</i> 160 (1978).....	22
S.J. Spencer et al., "Stereotype Threat and Women's Math Performance," 35 <i>Journal of Experimental Social Psychology</i> 4 (1999)	9
L. Springer et al., "Effects of Small-Group Learning on Undergraduates in Science, Mathematics, Engineering, and Technology: A Meta-Analysis," 69 <i>Review of Educational Research</i> 21, 34 (1999)	22

TABLE OF AUTHORITIES – Continued

C.M. Steele, <i>Whistling Vivaldi: And Other Clues to How Stereotypes Affect Us</i> (Norton, 2010)	4
C.M. Steele and J. Aronson, “Stereotype Threat and the Intellectual Test Performance of African Americans,” 69 <i>Journal of Personality and Social Psychology</i> 797 (1995)	7, 8
J.G. Stout et al., “STEMing the Tide: Using Ingroup Experts to Inoculate Women’s Self-Concept in Science, Technology, Engineering, and Mathematics (STEM),” 100 <i>Journal of Personality and Social Psychology</i> 255 (2011)	13
M. Thompson and D. Sekaquaptewa, “When Being Different is Detrimental: Solo Status and the Performance of Women and Racial Minorities,” 2 <i>Analyses of Social Issues and Public Policy</i> 183 (2002).....	22
G.M. Walton and G.L. Cohen, “A Brief Social-Belonging Intervention Improves Academic and Health Outcomes of Minority Students,” 331 <i>Science</i> 1447 (2011)	13
G.M. Walton and S.J. Spencer, “Latent Ability: Grades and Test Scores Systematically Underestimate the Intellectual Ability of Negatively Stereotyped Students,” 20 <i>Psychological Science</i> 1132 (2009)	14, 15, 17
G.M. Walton et al., “Affirmative Meritocracy,” <i>Social Issues and Policy Review</i> (forthcoming).....	11, 23

INTEREST OF AMICI CURIAE

Amici curiae, listed in the Appendix, are experimental social psychologists and other social scientists who study stereotype threat and related phenomena. Their research bears directly on the questions of (1) how to design a college admissions policy to admit the students with the greatest academic potential, and (2) how to ensure that those students will perform up to their capacities. They file this brief in order to acquaint the Court with this research and to explain its relevance to the constitutionality of affirmative action.¹



SUMMARY OF ARGUMENT

A substantial body of research by social scientists has revealed that standardized test scores and grades often underestimate the true academic capacity of members of certain minority groups. This result is attributable to a phenomenon scientists call *stereotype threat*.

¹ The parties have filed blanket consents to the filing of amicus briefs. No counsel for any party authored this brief in whole or in part, and no person or entity other than amici and their counsel made a monetary contribution intended to fund the preparation or submission of this brief. Amici file this brief as individuals and not on behalf of the institutions with which they are affiliated.

Stereotype threat is the pressure that people feel when they fear that their performance could confirm a negative stereotype about their group. This pressure manifests itself in anxiety and distraction that interferes with intellectual functioning. A student need not believe the stereotype is accurate to be affected. He or she need only be aware of the stereotype and care about performing well.

Stereotype threat has been one of the most extensively studied topics in social psychology over the past two decades. In hundreds of studies, scientists have confirmed the existence of stereotype threat and have measured its magnitude, both in laboratory experiments and in the real world. Because of stereotype threat, standard assessments of academic performance underestimate the ability of students targeted by negative stereotypes by an average of 0.18 standard deviations, the equivalent of 62 points on the SAT.

These findings have two important implications for college admissions.

First, because of stereotype threat, standardized test scores and high school GPAs systematically underestimate the true talents of many members of minority groups stigmatized as intellectually inferior. This means that the most promising students are not always the ones with the best numbers. A genuine merit-based admission policy therefore cannot rely on these numbers alone. An admissions policy that takes proper account of stereotype threat is not a *departure*

from merit-based admissions, but is rather an effort to achieve *more accurate* merit-based admissions.

Second, stereotype threat in college depresses the grades of many minority students. The more a student experiences stereotype threat in an academic setting, the worse the student's grades. One way to mitigate stereotype threat is to provide a racially diverse environment, so that minority students do not feel that they are seen or evaluated as representatives of their group.

Because of stereotype threat, a college will thus have to take race into account if it wishes to admit the best students and to ensure that all students can perform to their potential.



ARGUMENT

A substantial body of research by social scientists has revealed that standardized test scores and grades often underestimate the true academic capacity of members of certain minority groups. This result is attributable to a phenomenon scientists call *stereotype threat*.

Stereotype threat is the pressure that people feel when they fear that their performance could confirm a negative stereotype about their group. This pressure manifests itself in anxiety and distraction that interferes with intellectual functioning. If one belongs to a gender, ethnicity, or race that is viewed as intellectually

inferior, a challenging academic task can trigger this particular form of anxiety, which prevents a student from performing as well as he or she is capable. The student need not believe that the stereotype is accurate to be affected. The student only needs to be aware that the stereotype exists and to care about performing well. This can occur regardless of the actual level of prejudice in a classroom or test-taking situation.

Stereotype threat is distinct from the vague intuition of teachers, coaches, or parents who have seen their kids “choke” at an important event. It is a cognitive phenomenon that has been painstakingly researched, documented, and quantified over the past two decades in hundreds of peer-reviewed studies. (For an engaging summary of this research, see C.M. Steele, *Whistling Vivaldi: And Other Clues to How Stereotypes Affect Us* (Norton, 2010).)

Stereotype threat does not strike all students equally. It operates systematically against groups that are stereotyped as inferior in a particular domain. For example, the stereotype of Black intellectual inferiority has long been embedded in American culture, and many Black students are keenly aware of it. Latino students often experience the same stereotype. Women are often stereotyped as less able in math and science. These stereotypes have the effect of depressing the average grades and test scores of Black and Latino students, and they have the same effect for women in math and science. Scientists have also found that these negative effects

can be mitigated by changing aspects of the academic environment that cue stereotype threat. In fact, research on this phenomenon highlights the often hidden ways in which educational contexts can bring to mind negative stereotypes that disrupt cognitive processing for students targeted by these stereotypes.

These findings have two important implications for college admissions.

First, because of stereotype threat, standardized test scores and high school GPAs systematically underestimate the true talents and potential for success of many members of minority groups stigmatized as intellectually inferior. A genuine merit-based admissions policy therefore cannot rely on these numbers alone. An admissions policy that takes proper account of stereotype threat is not a *departure* from merit-based admissions, but is rather an effort to achieve *more accurate* merit-based admissions.

Second, stereotype threat in college depresses the grades of many minority students. When stereotype threat is removed, this performance gap sharply diminishes. One way of mitigating stereotype threat is to provide a racially diverse environment, so that minority students do not feel that they are seen or evaluated as representatives of their group.

The purpose of this brief is to acquaint the Court with the research on stereotype threat and to explain its relevance to college admissions policies. The first section of the brief summarizes the research findings. The second section discusses how these findings bear

on the questions of how to admit the best students and how to ensure that students who are admitted perform up to their capacities.

I. The Stereotype Threat Research

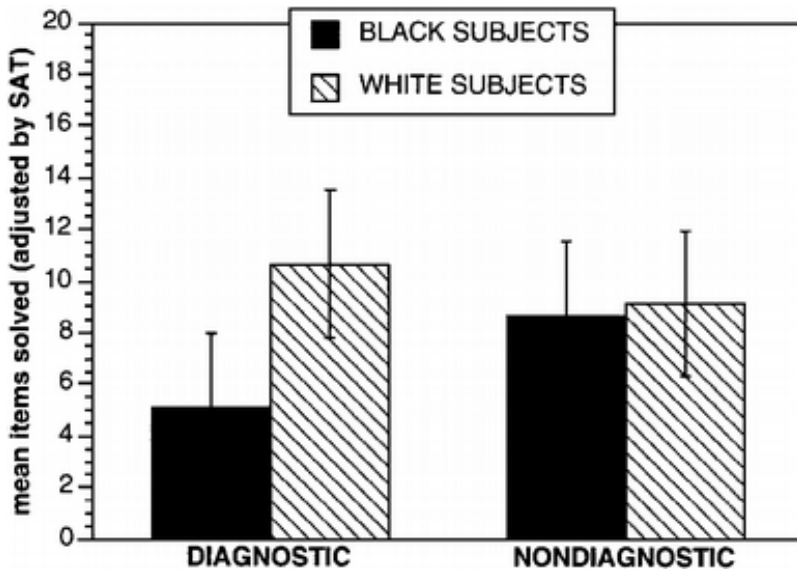
In 2008, a review of the large body of research on stereotype threat concluded that “[s]tereotype threat has become one of the most widely studied topics of the past decade in social psychology.” The review explained that “a large body of work now testifies to the reliability and generalizability of stereotype threat effects on performance.” T. Schmader et al., “An Integrated Process Model of Stereotype Threat Effects on Performance,” 115 *Psychological Review* 336, 336 (2008).

As with most research on social-cognitive phenomena, the early findings of stereotype threat came from laboratory experiments. More recent work has confirmed the existence of stereotype threat in the real world.

A. Stereotype Threat in the Laboratory

A well-known early study of stereotype threat was conducted by psychologists Claude Steele and Joshua Aronson to understand the racial achievement gap. Steele and Aronson administered the same test, composed primarily of problems from the GRE, to Black and White Stanford students under two different conditions. In the “threat” condition, the students

were told that the test would be diagnostic of their intellectual ability, an instruction that activated a negative stereotype of intellectual inferiority. By contrast, in the “no threat” condition, the test was characterized as a mere problem-solving task that was not intended to evaluate their intellectual ability. Under the “threat” condition, Black students performed substantially worse than White students with the same incoming SAT scores. But under the “no threat” condition, Black students’ performance improved significantly, virtually eliminating the racial gap between Black and White students with the same incoming SAT scores. C.M. Steele and J. Aronson, “Stereotype Threat and the Intellectual Test Performance of African Americans,” *69 Journal of Personality and Social Psychology* 797 (1995).



The graph above is reproduced from the Steele-Aronson study. *Id.* at 802 (figure 2). The two bars on the left show the extent to which White students outperformed Black students under the “threat” condition. The two bars on the right show that this gap was nearly eliminated under the “no threat” condition.

Steele and Aronson concluded that when the test was represented as evaluative of ability, which is how most tests are represented and understood, the Black students became anxious that a poor performance could seem to confirm the negative stereotype of intellectual inferiority, and this anxiety disrupted their test performance. But when the test was characterized in the “no threat” condition, the instructions made negative intellectual stereotypes less relevant. With less burden of psychological threat, Black students’ performance improved dramatically. A great deal of subsequent research, over a wide range of populations and testing conditions, has reached the same conclusion. *See, e.g.,* R.P. Brown and E.A. Day, “The Difference Isn’t Black and White: Stereotype Threat and the Race Gap on Raven’s Advanced Progressive Matrices,” 91 *Journal of Applied Psychology* 979 (2006).

Similar experiments conducted concurrently at the University of Michigan, involving equally qualified men and women taking a math test, yielded identical results. In the “threat” condition, participants were told ahead of time that men performed better than women at math. In the “no threat” condition,

participants were told ahead of time that men and women performed equally on the test. This seemingly small difference in instructions yielded sharply different results. In the former condition, the women performed substantially worse than the men; in the latter, men and women performed equally well. S.J. Spencer et al., "Stereotype Threat and Women's Math Performance," 35 *Journal of Experimental Social Psychology* 4 (1999). Subsequent research has confirmed that merely describing a math test as evaluative of math ability can trigger stereotype threat and undermine women's performance. D.M. Quinn and S.J. Spencer, "The Interference of Stereotype Threat with Women's Generation of Mathematical Problem-Solving Strategies," 57 *Journal of Social Issues* 55 (2001); P.G. Davies et al., "Consuming Images: How Television Commercials that Elicit Stereotype Threat Can Restrain Women Academically and Professionally," 28 *Personality and Social Psychology Bulletin* 1615, 1618 (2002).

Such findings – of very different test scores from the exact same pool of individuals simply because of subtle changes in the situation – have now been replicated in hundreds of studies. For example, when Latino college students were told that a math test would evaluate their intellectual ability, they scored much lower than White students, but when they were told that the test *did not* evaluate their ability, they performed as well as White students. P.M. Gonzales et al., "The Effects of Stereotype Threat and Double-Minority Status on the Test Performance of Latino

Women,” 28 *Personality and Social Psychology Bulletin* 659 (2002). In the rare circumstances in which majority-group members face negative stereotypes, they too show stereotype threat. When White male Stanford students specifically selected for high math ability were given a math test and told that the goal of the experiment was to examine why Asians outperform Whites in math, their scores plummeted. J. Aronson et al., “When White Men Can’t Do Math: Necessary and Sufficient Factors in Stereotype Threat,” 35 *Journal of Experimental Social Psychology* 29 (1999).

Why does stereotype threat have such striking effects? When people are aware of the stereotype, their attention is split between the test at hand and worries about being seen stereotypically. Research finds that anxiety about negative stereotypes can trigger physiological changes in the body and the brain (especially an increased cardiovascular profile of threat and activation of brain regions used in emotion regulation), cognitive reactions (especially a vigilant self-monitoring of performance), and affective responses (especially the suppression of self-doubts). These effects all divert cognitive resources that could otherwise be used to maximize task performance. T. Schmader et al., “An Integrated Process Model,” 342-46; T. Schmader and M. Johns, “Converging Evidence that Stereotype Threat Reduces Working Memory Capacity,” 85 *Journal of Personality and Social Psychology* 440 (2003). As a recent review of this research concludes, “[t]his pattern of evidence suggests

that stereotype threat degrades the ability to regulate attention during complex tasks,” because of the need to “inhibit thoughts, feelings, and behaviors counter-productive to one’s current goals.” T. Schmader et al., “An Integrated Process Model,” 340. Because students under stereotype threat are automatically managing this anxiety, they may not admit it to others or even be aware of it themselves. *Id.* at 345. And because the students who care the most about their academic performance are the most likely to experience this anxiety, stereotype threat hits the most dedicated students the hardest. It is not their motivation to succeed that falters; what falters is their ability to maintain undivided attention.

B. Stereotype Threat in the Real World

More recent work has provided evidence that the effects shown in the laboratory also exist in the real world. J. Aronson and T. Dee, “Stereotype Threat in the Real World,” in M. Inzlicht and T. Schmader, eds., *Stereotype Threat: Theory, Process, and Application* 264-79 (Oxford University Press, 2012); G.M. Walton et al., “Affirmative Meritocracy,” *Social Issues and Policy Review* (forthcoming).

Stereotype threat influences performance in academic environments as early as middle school. Interventions designed to lift the threat reduced the gap between the GPAs of Black and White middle school students by 40%. G.L. Cohen et al., “Reducing the Racial Achievement Gap: A Social-Psychological

Intervention,” 313 *Science* 1307 (2006). See also C. Good et al., “Improving Adolescents’ Standardized Test Performance: An Intervention to Reduce the Effects of Stereotype Threat,” 24 *Journal of Applied Developmental Psychology* 645 (2003); G.L. Cohen et al., “Recursive Processes in Self-Affirmation: Intervening to Close the Minority Achievement Gap,” 324 *Science* 400 (2009).

Stereotype threat has also been found to exist in high school. For example, the California high school exit exam must be passed in order to graduate; for those who find this exam challenging, it is an extremely high-stakes test that is more likely to evoke stereotype threat. By contrast, California achievement tests, although they test similar material, have much lower stakes because they have no direct impact on the student. The achievement tests are thus less likely to trigger stereotype threat. Black and Latino students who performed as well as White students on the achievement tests (the “low threat” condition) performed markedly worse on the exit exam (the “high threat” condition). In math, girls who performed as well as boys on the achievement tests (“low threat”) performed substantially worse on the exit exam (“high threat”). S.F. Reardon et al., *Effects of the California High School Exit Exam on Student Persistence, Achievement, and Graduation* (Stanford University Institute for Research on Education Policy and Practice Working Paper 2009-12 (2009)).

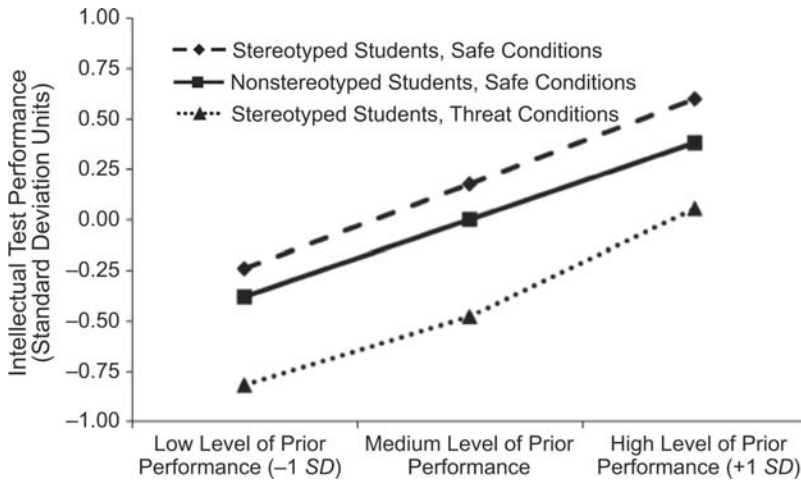
Stereotype threat has been measured most often in college. At the Air Force Academy, where students

are randomly assigned to professors for mandatory standardized courses, female students do much better in math and science courses taught by women, a setting that implicitly negates the stereotype that women are bad at math. The difference in performance is most pronounced for the female students who are most skilled at math. S.E. Carrell et al., “Sex and Science: How Professor Gender Perpetuates the Gender Gap,” 125 *Quarterly Journal of Economics* 1101 (2010). See also J.G. Stout et al., “STEMing the Tide: Using Ingroup Experts to Inoculate Women’s Self-Concept in Science, Technology, Engineering, and Mathematics (STEM),” 100 *Journal of Personality and Social Psychology* 255 (2011). At another selective college, the GPA gap between Black and White students was cut in half over three years by an intervention that mitigated concerns about negative stereotypes. G.M. Walton and G.L. Cohen, “A Brief Social-Belonging Intervention Improves Academic and Health Outcomes of Minority Students,” 331 *Science* 1447 (2011). See also J. Aronson et al., “Reducing the Effects of Stereotype Threat on African American College Students by Shaping Theories of Intelligence,” 38 *Journal of Experimental Social Psychology* 113 (2002).

To see whether real-world measures of academic merit are distorted by stereotype threat, many researchers have studied what happens when the threat is removed. A recent meta-analysis, a statistical combination of 39 independent samples including over three thousand participants, examined the effects

of reducing stereotype threat through laboratory manipulations. It found that standard measures of academic performance underestimate the ability of students targeted by negative stereotypes by an average of 0.18 standard deviations. G.M. Walton and S.J. Spencer, "Latent Ability: Grades and Test Scores Systematically Underestimate the Intellectual Ability of Negatively Stereotyped Students," 20 *Psychological Science* 1132, 1135 (2009). To put that figure in context, one standard deviation on last year's 2400-point SAT was 344 points. College Board, *2011 College-Bound Seniors: Total Group Profile Report 1* (2011). To have one's score reduced by 0.18 standard deviations would thus cost a student 62 points on the SAT.

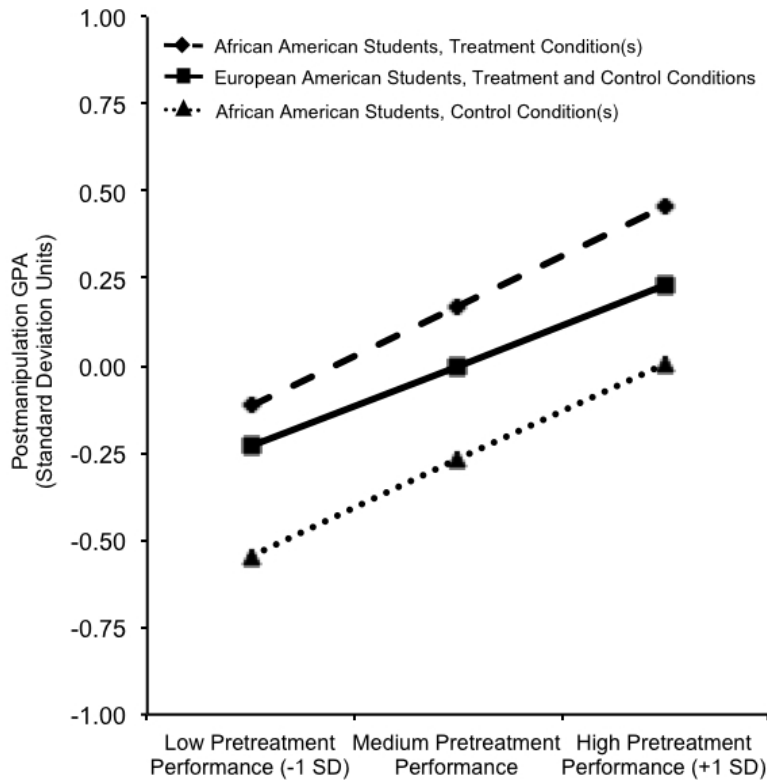
Another meta-analysis combined the results of randomized field experiments, involving more than fifteen thousand students, in which stereotype threat was reduced not in the laboratory but through interventions in the real world. The results were remarkably similar: conventional measures of academic performance underestimated the ability of members of stereotyped groups by 0.17 standard deviations. Walton and Spencer, "Latent Ability," 1137.



The graph above represents the first meta-analysis, the one showing the effects of laboratory interventions to reduce stereotype threat. Walton and Spencer, “Latent Ability,” 1135 (figure 1). It shows the difference in academic performance between stereotyped students and non-stereotyped students who have equal levels of prior performance, as measured by past grades and real-world test scores. The data were aligned such that the prior performance was at the exact same level for all three groups. The gap between the top dashed line and the middle solid line shows that those measures of prior performance were biased by stereotype threat, because the stereotyped students perform *better* than the non-stereotyped students once the threat is reduced.

A useful analogy is to think of stereotype threat as a headwind, and to imagine a competition in which Runner 1 faces a headwind but Runner 2 does not. If the runners nevertheless tie in those conditions, we

would expect Runner 1 to win a new race when the headwind is reduced. That is precisely what the data show. The size of this gap – 0.18 standard deviations, or 62 points on the SAT – is the size of the average headwind confronting stereotyped students. It shows the extent to which prior grades and test scores underestimated the true ability of stereotyped students, on average. These effects can be substantially greater in settings with higher stakes, more difficult material, or less representation of one’s group, where the level of stereotype threat is likely to be higher.



The graph above represents the second meta-analysis, the one showing the effects of real-world interventions to reduce stereotype threat. *Id.* at 1138 (figure 2d). The gap between the top dashed line and the middle solid line again shows that when the headwind is reduced, stereotyped students perform better than non-stereotyped students who had the same incoming scores.

It is also worth focusing on the gap between the middle solid line and the bottom dotted line, which shows the converse effect of *underperformance*. Often stereotyped students perform worse than non-stereotyped students at a subsequent stage of education, even though they have the same incoming scores. One reason is that stereotype threat increases at later stages of education as academic work becomes more difficult (which pushes all students closer to the boundaries of their abilities) and as educational environments are often less diverse – in other words, the headwind blows even harder. If the two runners tie when Runner 1 faces a moderate headwind, we would expect Runner 1 to lose in a subsequent race with a stiffer headwind. Again, this is what the data show. Even with identical incoming scores, the non-stereotyped students outperform the stereotyped students.

Finally, the large gap between the top dashed line and the bottom dotted line in both graphs represents the dramatic difference between a world where stereotype threat is alleviated (the headwind weakens)

and a world where stereotype threat is exacerbated (the headwind stiffens).

These findings yield a simple conclusion. If grades and test scores were used as the sole basis for admissions, the admissions process would systematically underestimate the ability of minority students by approximately two-tenths of a standard deviation. Because of the reality of stereotype threat, grades and test scores are not unbiased measures of talent.²

II. Implications for College Admissions

These research findings have two principal implications for college admissions. First, if a college wishes to admit the students with the greatest academic potential, it cannot rely solely on standardized test scores and high school GPAs, because these numbers are likely to have been distorted by stereotype threat. Second, if a college wishes to ensure that its admitted students perform up to their capacities, it needs to take steps to counter stereotype threat. One such step is to enroll enough minority students to ensure that students do not feel themselves merely as representatives of their race.

² Petitioner's amici thus err when they assert that stereotype threat is merely a laboratory phenomenon with no real-world effects. *See* Brief for Richard Sander and Stuart Taylor, Jr., at 25-26; Brief of Scholars of Economics and Statistics at 31-32. Indeed, the stereotype threat research demonstrates the error in Sander and Taylor's assumption that real-world grades and test scores are unbiased measures of merit.

A. Admitting the Best Students

The problem of stereotype threat highlights something colleges already know well – standardized tests and high school grades are useful but imperfect predictors of college success. Thus, even if the goal of admissions is construed narrowly as selecting those students who are most likely to succeed academically, many colleges have always looked beyond the numbers to assess the whole person. Stereotype threat, from the perspective of an admissions officer, provides just one more reason not to use a rigid numbers-only admissions policy.

Admissions officers routinely admit students with lower grades or standardized test scores than others they do not admit, for reasons that are well known and widely accepted. Some applicants have jobs while in high school and thus do not have as much time to study as students who can afford not to work. Some devote comparable amounts of time to sports, or to volunteer work, or to caring for sick family members. Some applicants cannot afford elaborate test preparation courses. Others have grown up in crime- and poverty-ridden neighborhoods that are not conducive to educational achievement. Grades and standardized test scores may underestimate the true talents of such students and their potential for academic success in college, so selective colleges do not rely solely on the numbers. Selective colleges also seek applicants with particular talents or those from atypical locales. In evaluating these applicants, they consider whether

numerical measures might miss an important part of the whole story.

Research on stereotype threat further demonstrates that the most promising students are not always the ones with the highest SAT scores or the best high school grades, because these measures systematically underestimate the ability of members of stereotyped groups. It is thus rational for a college that seeks to admit the students with the greatest potential, not just those with the highest numbers, to take stereotype threat into account. In doing so, colleges can use the same holistic method they use to take other factors into account. Just as colleges do not give mechanical preferences to applicants with full-time jobs or applicants who care for their grandparents, colleges need not use mechanical preferences to account for stereotype threat.

When colleges appropriately account for stereotype threat, the result may be a freshman class in which the average SAT score of minority students is lower than the average SAT score of White students. But this outcome may nevertheless be genuinely meritocratic, not a departure from meritocracy. J. Kang and M.R. Banaji, "Fair Measures: A Behavioral Realist Revision of Affirmative Action," 94 *Calif. L. Rev.* 1063 (2006). The freshman class would consist of the best students regardless of race.

B. Ensuring that Admitted Students Can Perform Up to Their Capacities

Stereotype threat in college depresses the performance of many minority students. The more a student experiences stereotype threat, the worse the student's grades, even controlling for the student's baseline level of academic preparation. J. Owens and D.S. Massey, "Stereotype Threat and College Academic Performance: A Latent Variables Approach," 40 *Social Science Research* 150 (2011); M.J. Fischer, "A Longitudinal Examination of the Role of Stereotype Threat and Racial Climate on College Outcomes for Minorities at Elite Institutions," 13 *Social Psychology of Education* 19 (2010); D.S. Massey and L. Probasco, "Divergent Streams: Race-Gender Achievement Gaps at Selective Colleges and Universities," 7 *Du Bois Review* 219 (2010); R.P. Brown and M.N. Lee, "Stigma Consciousness and the Race Gap in College Academic Achievement," 4 *Self and Identity* 149 (2005); R. Mendoza-Denton et al., "Sensitivity to Status-Based Rejection: Implications for African American Students' College Experience," 83 *Journal of Personality and Social Psychology* 896 (2002).³

There has accordingly been considerable interest in discovering methods to mitigate stereotype threat in college. One crucial factor is the extent to which

³ This research demonstrates the error in another of the assumptions underlying the "mismatch" theory advanced by amici Sander and Taylor – the assumption that poor performance in school is due entirely to lack of ability or preparation.

students experience “solo status” – when they are the only representative, or one of few representatives, of their group. In one experiment, for example, when Black undergraduates took a test as the only Black member of a group, they performed worse than equally qualified Black undergraduates who took the same test as members of an all-Black group. D. Sekaquaptewa and M. Thompson, “The Differential Effects of Solo Status on Members of High- and Low-Status Groups,” 28 *Personality and Social Psychology Bulletin* 694 (2002). In a similar experiment, female undergraduates did better on a math test when they took the test as part of a group in which they were not the only woman. M. Inzlicht and T. Ben-Zeev, “A Threatening Intellectual Environment: Why Females are Susceptible to Experiencing Problem-Solving Deficits in the Presence of Males,” 11 *Psychological Science* 365 (2000). See generally N. Dasgupta, “Ingroup Experts and Peers as Social Vaccines Who Inoculate the Self-Concept: The Stereotype Inoculation Model,” 22 *Psychological Inquiry* 231 (2011); M. Thompson and D. Sekaquaptewa, “When Being Different is Detrimental: Solo Status and the Performance of Women and Racial Minorities,” 2 *Analyses of Social Issues and Public Policy* 183 (2002).

Numerous field studies have likewise found that the academic performance of women and minority students improves in more diverse settings. See, e.g., E. Spangler et al., “Token Women: An Empirical Test of Kanter’s Hypothesis,” 84 *American Journal of Sociology* 160 (1978); L. Springer et al., “Effects of

Small-Group Learning on Undergraduates in Science, Mathematics, Engineering, and Technology: A Meta-Analysis,” 69 *Review of Educational Research* 21, 34 (1999); E. Harskamp et al., “Group Composition and Its Effect on Female and Male Problem-Solving in Science Education,” 50 *Educational Research* 307 (2008); N. Ding and E. Harskamp, “How Partner Gender Influences Female Students’ Problem Solving in Physics Education,” 15 *Journal of Science Education and Technology* 331 (2006).

These studies suggest that being severely underrepresented creates psychological threat and amplifies a student’s worry that his or her performance will be seen as reflecting the capacity of his or her group. Walton et al., “Affirmative Meritocracy,” 19; V. Purdie-Vaughns et al., “Social Identity Contingencies: How Diversity Cues Signal Threat or Safety for African Americans in Mainstream Institutions,” 94 *Journal of Personality and Social Psychology* 615 (2008); M.C. Murphy et al., “Signaling Threat: How Situational Cues Affect Women in Math, Science, and Engineering Settings,” 18 *Psychological Science* 879 (2007). When you are one of only a few members of a racial or gender group, your group identity tends to define you in that setting, both in terms of how you think about yourself and how you are perceived by others. W.J. McGuire et al., “Salience of Ethnicity in the Spontaneous Self-Concept as a Function of One’s Ethnic Distinctiveness in the Social Environment,” 36 *Journal of Personality and Social Psychology* 511 (1978). When A is the only Black student taking Medieval Literature, he is likely to feel like, and to be

perceived as, “the Black kid” in the class. When *B* is the only woman majoring in Mechanical Engineering, she is likely to feel like, and to be perceived as, not just an Engineering major, but a *woman* majoring in Engineering. But when there are multiple members of one’s racial or gender group present, a person’s identity is less defined by group membership. Now *A* is just a student taking Medieval Literature and *B* is just someone studying Engineering. Stereotype threat diminishes in diverse environments, because group membership tends to become less defining of individual identity.⁴

If a college wishes to ensure that equally qualified White and minority students can perform up to their capacities, this research indicates that one prudent strategy is to admit a diverse class. If the class is sufficiently diverse, students can avoid the stereotype threat triggered by severe underrepresentation. As a result, the performance gap between

⁴ Justice O’Connor tells a story that illustrates this point. She explains that the appointment of Justice Ginsburg “made an enormous difference. When I’d arrived there had been a large amount of media attention to the selection of a woman and then to see what that woman did, under all circumstances. And too much attention for any reasonable comfort level. And the minute Justice Ginsburg came to the court, we were nine justices. It wasn’t seven and then ‘the women.’ We became nine. It was a great relief to me.” This quotation is from a 2003 CNN interview entitled “Sandra Day O’Connor: ‘The Majesty of the Law,’” available at http://articles.cnn.com/2003-05-20/politics/judy.page.oconnor_1_individual-rights-supreme-court-justice-sandra-day-oconnor/3?_s=PM:ALLPOLITICS.

White and minority students will diminish. Minority students' performance will be more commensurate with their talents.⁵

In short, because of the phenomenon of stereotype threat, a college will have to take race into account if it wishes to admit the best students and to ensure that all students perform as well as they are capable.

Amici are social scientists, not lawyers. It is beyond their expertise to say whether any particular affirmative action program is constitutional. But it would seem very strange to them if the Constitution barred colleges from trying to select the best students based on academic merit, or from trying to ensure that students perform up to their capacities. The best scientific evidence available indicates that in order to accomplish these goals, colleges cannot be colorblind.



⁵ Without considering any of the evidence, petitioner's amici speculate that stereotype threat is *caused* by race-conscious college admissions policies. Brief of Gail Heriot et al. at 31-32; Brief for Richard Sander and Stuart Taylor, Jr., at 26. As we have demonstrated in this brief, the research indicates otherwise. Stereotype threat has been shown to be pervasive in many non-collegiate settings, like middle schools and high schools, that lack race-conscious admissions policies. Stereotype threat also afflicts women on math exams in institutions without gender-conscious admissions policies. Finally, a sensibly designed race-conscious college admissions policy can mitigate severe underrepresentation, a result that has been shown to reduce stereotype threat, not to increase it.

CONCLUSION

The judgment of the Court of Appeals should be affirmed.

Respectfully submitted,

RACHEL D. GODSIL
SETON HALL LAW SCHOOL
One Newark Center
Newark, NJ 07102

STUART BANNER
Counsel of Record
JERRY KANG
UCLA SUPREME COURT CLINIC
UCLA SCHOOL OF LAW
405 Hilgard Ave.
Los Angeles, CA 90095
(310) 206-8506
banner@law.ucla.edu
Counsel for Amici Curiae

APPENDIX

List of Amici Curiae

Lauren J. Aguilar is a Postdoctoral Scholar of Psychology at Stanford University.

Nalini Ambady is Professor of Psychology at Stanford University.

Markus Appel is Associate Professor of Psychology at Johannes Kepler University.

Elliot Aronson is Professor Emeritus of Psychology at the University of California, Santa Cruz.

Joshua Aronson is Associate Professor of Applied Psychology at New York University.

Sian L. Beilock is Professor of Psychology and a member of the Committee on Education at the University of Chicago.

Avi Ben-Zeev is Professor of Cognitive Psychology and Co-Coordinator of the Mind, Brain, & Behavior program at San Francisco State University.

James J. Blascovich is Professor of Psychological and Brain Sciences at the University of California, Santa Barbara.

Caryn Block is Associate Professor of Psychology and Education at Teachers College, Columbia University.

Galen V. Bodenhausen is the Lawyer Taylor Professor of Psychology and Professor of Marketing at Northwestern University.

Rupert Brown is Professor of Social Psychology at Sussex University.

Ryan P. Brown is Associate Professor of Psychology at the University of Oklahoma.

Mara Cadinu is Associate Professor of Psychology at the University of Padua.

Priyanka B. Carr is an independent researcher.

Kenneth Carter is Professor of Psychology at Emory University.

Emanuele Castano is Associate Professor of Psychology at the New School for Social Research.

Alison L. Chasteen is Associate Professor of Psychology at the University of Toronto.

Sapna Cheryan is Assistant Professor of Psychology at the University of Washington.

Geoffrey L. Cohen is the James G. March Professor of Organizational Studies in Education and Business and Professor of Psychology at Stanford University.

Christian S. Crandall is Professor of Social Psychology at the University of Kansas.

Jennifer Crocker is the Ohio Eminent Scholar in Social Psychology and Professor of Psychology at Ohio State University.

Jean-Claude Croizet is Professor of Psychology at the University of Poitiers.

Faye J. Crosby is Professor of Psychology at the University of California, Santa Cruz.

Amy J.C. Cuddy is Associate Professor of Business Administration at Harvard Business School.

Nilanjana Dasgupta is Associate Professor of Psychology at the University of Massachusetts, Amherst.

Paul G. Davies is Associate Professor of Psychology at the University of British Columbia, Okanagan.

Kay Deaux is Distinguished Professor Emerita at the Graduate Center of the City University of New York, and Visiting Research Scholar at New York University.

Patricia G. Devine is Professor of Psychology at the University of Wisconsin.

John F. Dovidio is Professor of Psychology at Yale University.

Geraldine Downey is Professor of Psychology at Columbia University.

Carol S. Dweck is the Lewis and Virginia Eaton Professor of Psychology at Stanford University.

Naomi Ellemers is Professor of the Social Psychology of Organizations at Leiden University.

Mary J. Fischer is Associate Professor of Sociology at the University of Connecticut.

Susan T. Fiske is the Eugene Higgins Professor of Psychology and Professor of Psychology and Public Affairs at Princeton University.

Samuel L. Gaertner is Professor of Psychology at the University of Delaware.

Adam D. Galinsky is the Morris and Alice Kaplan Professor of Ethics and Decision in Management at the Kellogg School of Management, Northwestern University (through Sept. 30), and the Vikram S. Pandit Professor of Business at Columbia Business School, Columbia University (from Oct. 1).

Julie A. Garcia is Associate Professor of Psychology and Child Development at California Polytechnic State University, San Luis Obispo.

Julio Garcia is an independent researcher.

Phillip Atiba Goff is Assistant Professor of Psychology at the University of California, Los Angeles, and Executive Director of Research for the Consortium for Police Leadership in Equity.

Catherine Good is Assistant Professor of Psychology at Baruch College, City University of New York.

Lisa R. Grimm is Assistant Professor of Psychology at the College of New Jersey.

Thomas M. Hess is Professor of Psychology at North Carolina State University.

Pascal Huguet is Director of Research at the CNRS, the French National Centre for Scientific

Research, and Director of the Behavior, Brain, & Cognition Institute at Aix-Marseille University.

Janet Shibley Hyde is the Helen Thompson Woolley Professor of Psychology and of Gender and Women's Studies at the University of Wisconsin.

Michael Inzlicht is Associate Professor of Psychology and Neuroscience at the University of Toronto.

Jeremy P. Jamieson is Assistant Professor of Psychology at the University of Rochester.

Robert A. Josephs is Professor of Social-Personality Psychology, Professor of Clinical Psychology, a member of The Institute for Neuroscience, and the Head of the Social Endocrinology Laboratory at the University of Texas, Austin.

John T. Jost is Professor of Psychology and Politics at New York University.

Laura Kray is Professor of Business and the Warren E. and Carol Spieker Chair of Leadership at the University of California, Berkeley.

Mark R. Lepper is the Albert Ray Lang Professor of Psychology at Stanford University.

Jacques-Philippe Leyens is Professor Emeritus at Catholic University of Louvain.

Christine Logel is Assistant Professor of Social Development Studies at Renison University College at the University of Waterloo.

Brenda Major is Professor of Psychological and Brain Sciences and of Communications at the University of California, Santa Barbara.

Hazel R. Markus is the Davis Brack Professor in the Behavioral Sciences at Stanford University.

Andy Martens is Senior Lecturer in Psychology at the University of Canterbury.

David M. Marx is Assistant Professor of Psychology at San Diego State University.

Douglas S. Massey is the Henry G. Bryant Professor of Sociology and Public Affairs at Princeton University.

Allen R. McConnell is the James and Beth Lewis Professor of Psychology at Miami University.

Clark McKown is Associate Professor of Behavioral Sciences at Rush University Medical Center.

Mary C. Murphy is Assistant Professor of Psychology at Indiana University.

Steven L. Neuberg is the Foundation Professor of Psychology at Arizona State University.

Richard E. Nisbett is the T. M. Newcomb Distinguished University Professor at the University of Michigan.

Laurie T. O'Brien is Associate Professor of Psychology at Tulane University.

Jason W. Osborne is Associate Professor of Education Psychology at Old Dominion University.

Lora E. Park is Associate Professor of Psychology at the University at Buffalo, The State University of New York.

Thomas F. Pettigrew is Research Professor of Psychology at the University of California, Santa Cruz.

Elizabeth C. Pinel is Associate Professor of Psychology at the University of Vermont.

Diane M. Quinn is Associate Professor of Psychology at the University of Connecticut.

Isabelle Régner is Associate Professor of Social Psychology at Aix-Marseille University.

Jennifer A. Richeson is the Weinberg College Board of Visitors Research and Teaching Professor of Psychology and Faculty Fellow at the Institute for Policy Research at Northwestern University.

Harriet E.S. Rosenthal is Lecturer in Psychology at Durham University.

Lee D. Ross is the Stanford Federal Credit Union Professor of Psychology at Stanford University.

Robert J. Rydell is Assistant Professor of Psychological and Brain Sciences at Indiana University.

Toni Schmader is Professor of Psychology and Canada Research Chair in Social Psychology at the University of British Columbia.

P. Wesley Schultz is Professor of Psychology at California State University, San Marcos.

Beate Seibt is Associate Professor of Social Psychology at Oslo University.

Jenessa R. Shapiro is Assistant Professor of Psychology and Management at the University of California, Los Angeles.

David Sherman is Associate Professor of Psychological & Brain Sciences at the University of California, Santa Barbara.

Margaret Shih is Associate Professor in Management and Organizations at the University of California, Los Angeles.

Steven J. Spencer is Professor of Psychology at the University of Waterloo.

Tomas Ståhl is visiting Assistant Professor of Social Psychology at the University of Illinois at Chicago.

Claude M. Steele is the I. James Quillen Dean of the School of Education and the Lucie Stern Professor in the Social Sciences (Emeritus) at Stanford University.

Jennifer Steele is Associate Professor of Psychology at York University.

Jeff Stone is Associate Professor of Psychology at the University of Arizona.

Steven Stroessner is the Ann Whitney Olin Professor of Psychology at Barnard College, Columbia University.

Valerie Jones Taylor is Assistant Professor of Psychology at Spelman College.

Ayanna K. Thomas is Assistant Professor of Psychology at Tufts University.

Colette van Laar is Associate Professor of Social and Organizational Psychology at Leiden University.

Courtney von Hippel is Lecturer in Psychology at the University of Queensland.

William von Hippel is Professor and Head of Psychology at the University of Queensland.

Gregory M. Walton is Assistant Professor of Psychology at Stanford University.

Timothy D. Wilson is the Sherrell J. Aston Professor of Psychology at the University of Virginia.

Daryl A. Wout is Assistant Professor of Psychology at John Jay College at the City University of New York.

Mark P. Zanna is University Professor of Psychology at the University of Waterloo.

Philip G. Zimbardo is Professor Emeritus of Psychology at Stanford University and Professor at Palo Alto University.
